INTERNATIONAL CENTRE FOR
ASSET RECOVERY

BASEL
LEARN

Training programmes and eLearning
Financial investigations and asset recovery

Training programmes

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Training programmes

"Really, it was the
most wonderful course
I have ever taken in my
career's history."

"The practical exercise
of the realistic case was
brilliantly prepared, which
helped to understand the
issues with ease."

STRATEGIA NAȚIONALĂ ANTICORUPȚIE 2016-2020
NATIONAL ANTI-CORRUPTION STRATEGY 2016-2020

"For the first time, we brought together the
agencies to build a clear understanding of each other
and to work together in fighting thea ever challenging
crime of corruption and associated offences.
And also for the first time, there was a chorus of
appreciation for an external training."

"The trainers did
a good job in
understanding our local
legislations and using
them to make the
training relevant to our
circumstances."

"We were very
impressed with the trainers
for their extensive knowledge
and for the amount of research,
preparation and effort they had
put in to ensure the success of
the workshop."

"Trainers clearly and concisely opened
our minds to international developments within
anti-corruption and anti-money laundering,
which we hope to utilise to improve our output in
terms of quality and effectiveness."

Since 2008 we have delivered:

140+ training programmes

in **40+** countries

to **4,000+** trainees

9

Instructor-led courses

Learn by doing!

Over 90%

of impact survey participants say the training has positively changed their way of thinking and working

Average

62%

knowledge increase in pre- and post-tests for all trainings in 2018 – 2021

Nearly

100%

trainees rate ICAR programmes as "good" or "excellent"

4 full-time ICAR trainers

+

specialised regional trainers in Latin America and Africa

Experienced investigators and prosecutors

Specialised in anti-money laundering and asset recovery

Combine best practice with local laws and contexts

Introduction

Sophisticated and complex financial crimes span the globe and impact all countries to some degree or another. Tracking down the criminal and solving crimes can be a lengthy and costly process involving many jurisdictions. The fight against corruption and money laundering, and indeed the recovery of the proceeds of crime, involve intricate and multiple proceedings, therefore requiring specialised legal, financial accounting, analytical and investigation skills.

The International Centre for Asset Recovery (ICAR) of the Basel Institute on Governance has developed and delivered training programmes in financial investigations and asset recovery to practitioners all over the world for more than ten years. We are specialised in conducting practical and interactive training programmes customised to country-specific needs, and taught both in person and on-site by our experts in partner countries.

Sustainable capacity building in partner countries

An integral part of our philosophy is to build or enhance capacity and knowledge in our partner countries. We aim to increase their autonomy in the fight against financial crimes and money laundering as well as in their pursuit to repatriate stolen assets.

ICAR training programmes generally target practitioners in the public sector, including investigators, prosecutors, members of the judiciary and Financial Intelligence Units (FIUs), and related staff. Our programmes are specifically designed to enhance skills and competences to analyse, investigate and prosecute complex corruption offences, financial crime and money laundering cases, and to recover the proceeds of crime. We also promote and develop inter-agency collaboration in the investigation and prosecution of corruption and money laundering, as well as asset recovery by integrating representatives of various law enforcement agencies within our programmes.

A unique training methodology

ICAR programmes offer a balanced mix between hands-on exercises and interactive learning methods. By using a combination of foundation building lectures, workshops and country-specific practical exercises, participants are taken from the basics to a very advanced level of financial investigative techniques and asset tracing.

The practical exercise is an intricate, simulated investigation that replicates the real world as closely as possible. Participants learn how to unravel the web of deceptive financial transactions leading the investigators through the murky world of international banking transactions, offshore shell companies, financial centres and nominees. They work in task force groups, make independent decisions on investigative actions including surveillance, search warrants, interviewing hostile witnesses and tracking the proceeds of large-scale corruption across international borders.

Customised to country laws and practices

To ensure accuracy and credibility, the ICAR training team consistently conducts a scoping mission in the country itself to fully understand its legal system and practices, and to gather relevant legislation. This enables our experts to design and customise ICAR's training material to the exact needs of local practitioners.

On-site or virtual learning

Our programmes feature intensive, hands-on group work and are designed to be delivered in person. However, we are open to discussing virtual delivery of training programmes whenever it makes sense to do so. We do require participants to have their own computers for the duration of the course and a reliable internet connection able to support video conferencing. Whether in person or online, in order to receive a Certificate of Completion, participants are required to attend for the full duration of the workshop.

Completing relevant eLearning courses in advance is also a prerequisite for some programmes.

Funding and more information

The costs of our training programmes include fees and trainer expenses, and are negotiated on a case-by-case basis in close cooperation with the partner country or funding institution, which has requested our training services.

Please contact us at: +41 61 205 55 11 or info@baselgovernance.org to discuss.

Paper-free training

ICAR's in-person trainings are now delivered largely paper-free as participants access most of the training material through the Basel LEARN platform. At most, ICAR will print one agenda per table, a daily attendance list and certificates of completion.

ICAR training team

Our ICAR training team consists of international money laundering and asset recovery specialists, including former prosecutors and investigators with in-depth knowledge and long-standing practical experience. Our exposure to the laws, legal systems and practices of more than 40 countries where we have worked enables us to share not only international best practice but also country-specific information.

Thierry Ravalomanda
Head of Training ICAR

Experienced ICAR trainer since 2015, overseeing and delivering training in financial investigations and asset recovery worldwide. Former Public Prosecutor and Head of Legal of the the Madagascar Financial Intelligence Unit, with deep experience in financial analysis, international cooperation and anti-money laundering.

Federico Paesano
Senior Financial Investigation Specialist

Former Chief Investigator with the Italian Financial Police and mentor to the Afghan Minister of Interior on anti-corruption; specialised knowledge in cryptocurrencies, money laundering and financial investigation involving virtual assets.

Tom Walugembe
Asset Recovery Specialist

Former Public Prosecutor and Senior State Attorney who secured Uganda's first money laundering conviction, among other high-profile cases of economic crimes; certified Privacy Professional and graduate of ICAR's Train-the-Trainer programme.

Emmanuel Mringo
Asset Recovery Specialist

Former Investigator and Prosecutor at Tanzania's anti-corruption agency, specialising in corruption and economic crime. Graduate of ICAR's Train-the-Trainer programme.

Peter Huppertz
Team Leader IT and eLearning

Educational media specialist; responsible for the Basel Institute's eLearning, IT and digital tools development, including the Basel LEARN platform. Experienced trainer on technical aspects of financial and data analysis as well as open-source intelligence gathering.

Phyllis Atkinson
Senior Asset Recovery Advisor,
ICAR Training

Head of Training ICAR from 2010 to July 2023. Former Public Prosecutor, Advocate of the High Court and Certified Fraud Examiner with vast experience in high-profile criminal investigations, prosecutions and mutual legal assistance in South Africa, and training around the world.

Financial Investigations and Asset Recovery

The centrepiece of ICAR's signature training programme is an extensive hands-on practical exercise in which the participants conduct a country-specific simulated investigation. It incorporates complex corruption and money laundering schemes, and following the money trail. Applying a participatory approach, the training material used in the programme is presented and reinforced through three complimentary learning styles: lecture, a country-specific simulated investigation and trial preparation.

Target audience: Investigators, prosecutors, FIU representatives, judges
Number of participants: 25 – 35 on-site; up to 20 virtually

Duration: 5 days
Location: On-site or virtually
Programme language: English; other languages possible with interpreters

The participants are divided into investigative teams, working as independent task force groups for the whole duration of the programme. Group discussions focus on key topical areas, identify problems that have occurred in actual cases, arrive at potential solutions and compare international standards to the country-specific issues.

The simulated case involves the analysis of large volumes of data, gathering and assembling evidence in a manner ready for presentation at trial, and establishing a basis for the confiscation of assets. This practical exercise incorporates relevant country-specific criminal statutes and provisions, commercial and banking records and enforcement procedures.

The participants learn how to utilise criminal investigative techniques to identify the witnesses and evidence that will be used to prove each of the elements of a money laundering and corruption violation, and to direct an asset recovery action. This work is conducted in an automated environment using computer applications to perform portions of the financial analysis.

Following the completion of the investigative stage, the participants are required to organise and assemble the evidence for prosecution.

The learning process takes place through a combination of the practical exercise and relevant blocks of instruction interspersed at appropriate intervals to provide the participants with the necessary skills to successfully complete the simulated investigation.

We recommend that the Financial Investigations and Asset Recovery programme be taken first as it lays the basis for the other programmes.

Offshore Structures and Mutual Legal Assistance

The combination of offshore banking and offshore legal entities and structures provides the means for criminals to hide their profits, and distance themselves from the beneficial ownership of stolen assets. This ICAR training programme focuses on a variety of topics which impact on the manner criminals attempt to hide their illegal proceeds. These include offshore corporations, trusts and their functions, secrecy, layering of trust and shell companies, beneficial ownership and offshore banking. Through the use of an extensive hands-on practical exercise, the participants conduct a country-specific simulated investigation.

Target audience: Investigators, prosecutors, FIU representatives, judges
Number of participants: 25 – 35 on-site; up to 20 virtually

Duration: 5 days
Location: On-site or virtually
Programme language: English; other languages possible with interpreters

The training programme includes a practical case scenario that is country-specific and focuses on unravelling corporate structures and beneficial ownership.

The participants are exposed to the ease with which offshore corporate entities can be established and subsequently abused by criminals. Various financial centre jurisdictions and offshore bank havens are examined to determine account opening requirements, amount of due diligence information available and techniques for freezing and analysing accounts.

The participants analyse and discuss best practices for Mutual Legal Assistance (MLA) in respect of various jurisdictions. An interactive workshop requires them to identify and initiate the key steps necessary to address a successful request for international assistance to the relevant foreign jurisdiction for a variety of investigative needs.

In addition to the MLA workshop, the participants are divided into teams to conduct a complex simulated asset tracing investigation involving the layering of offshore structures. The investigation requires the use of specific MLA techniques, the unravelling of trust and corporate entities, the formulation of the proper questions to obtain all critical foreign evidence and the following of various types of evidentiary leads.

Corruption in Infrastructure Projects and Procurement

A major part of the world's exports of merchandise and commercial services are linked to public procurement, creating valuable business opportunities but also providing exposure to bribery. Procurement offences in infrastructure construction projects are particularly prone to corruption, posing a primary source of corruption income. This ICAR training programme focuses on the types of corruption and fraud schemes that are common in contracting and procurement. Corruption schemes include bribery, collusion, bid rigging, kickbacks and undisclosed financial interests in the award of contracts. Through the use of an extensive hands-on practical exercise, the participants conduct a country-specific simulated investigation.

Target audience: Investigators, prosecutors, FIU representatives, judges
Number of participants: 25 – 35 on-site; up to 20 virtually

Duration: 5 days
Location: On-site or virtually
Programme language: English; other languages possible with interpreters

The training programme uses actual cases that demonstrate how bribes are generated, concealed and paid, and how these payments can be detected and proven. To provide an understanding of these processes, the participants analyse bid rigging methods used to ensure that the bribe payer is awarded the contract.

Specific cases assist in demonstrating fraudulent practices, including failure to meet contract specifications, inflated invoices, product substitution, false claims and over-billing.

The participants are divided into teams to investigate a simulated corruption case, which focuses on a large construction project, using the principles that were learnt during the lecture modules.

The teams follow leads to uncover the evidence, identify the relevant criminal statutes and organise their case in a manner that ensures that each element of the crime is proven. This active learning technique helps to develop investigative skills that can immediately be employed in the participants' actual cases.

An extensive manual on Combating Corruption and Fraud in International Development Projects is provided to each participant. It describes each step of the investigative process and may be used as guidance in future cases.

Money Laundering using Cryptocurrency

Criminals readily exploit the fast-moving pace of technological advancement with financial transactions, using new payment methods such as cryptocurrencies, rapidly gaining acceptance worldwide. This ICAR training programme focuses on providing participants with an understanding of the technology of cryptocurrencies, and the legislation in place to regulate their use. It explains the manner in which criminals may use cryptocurrency to launder the proceeds of crime. Through the use of an extensive hands-on practical exercise, the participants conduct a country-specific simulated investigation, which focuses on Bitcoin as a payment method.

Target audience: Investigators, prosecutors, FIU representatives
Number of participants: 25 – 35 on-site; up to 25 virtually

Duration: 2 days, 4 days virtually
Location: On-site or virtually
Programme language: English; other languages possible with interpreters

In recent years, financial payments have experienced an unprecedented wave of technological innovations with the development of new electronic payment methods. Cryptocurrencies, including Bitcoin, have the potential to make it easier for criminals to hide the source of their proceeds and move their funds across borders without detection.

The training programme involves the participants in a practical case scenario that is customised to their local environment, and provides them with the tools and understanding necessary to detect, counter and investigate criminal usage of cryptocurrencies.

It teaches them to investigate corruption where such currencies are used to transfer funds and launder the proceeds by way of Bitcoin, and to trace Bitcoin transactions with the use of publicly available online tools. They learn about the peculiarities and challenges associated with the seizure and confiscation of such currency.

We recommend that the Financial Investigations and Asset Recovery programme be taken first as it lays the basis for the other programmes. On request, Mutual Legal Assistance (MLA) training can also be integrated into the Bitcoin training programme. During such training, participants analyse and discuss best practices for MLA in respect of various jurisdictions through an interactive workshop.

Interviewing Skills for Financial Investigators

A critical component of any investigation is the ability to obtain credible and accurate information, regardless whether the interview involves potential suspects, a victim, or peripheral witness. This ICAR training programme focuses on interviewing techniques that relate to the questioning of a selection of such individuals. The emphasis is on developing interviewing skills, targeting financial information in particular. It draws upon concepts included in various recognised methodologies such as Cognitive Interview Method and the PEACE (Preparation and Planning; Engage and Explain; Account; Closure; and Evaluate) system as well as the personal experiences of the instructors.

Target audience: Investigators
Number of participants: up to 12
Duration: 5 days

Location: On-site only
Programme language: English

The PEACE interviewing technique was developed in the United Kingdom and is now widely used by law enforcement personnel around the world. The Cognitive Interview Method is designed to help the witness recollect as much detail as possible, and to recreate the context of an event to stimulate the person's memory. Another technique known as the "Conversation management technique", specifically developed for use on suspects or unwilling interviewees, is also referenced.

The training programme draws upon all these techniques, combining lecture modules, examples and extensive practical interviewing exercises.

The participants learn to apply various principles through a hypothetical case involving a whistle blower, losing bidder, bribe payer, other associates and the bribe recipient. Based on documents relating to the case such as diary entries, cell phone records, handwritten notes and financial records, they learn to develop an interview outline and conduct interviews.

The training emphasises the importance of creating event timelines, establishing the sources and authenticity of documents, the exploitation of document information and tracing financial details.

Non-Conviction Based Forfeiture

Introduced in 2022, this novel training programme focuses on the use of judicial procedures to forfeit or confiscate assets derived from or related to criminal activity, and the instrumentalities of crime, without the need for a specific criminal conviction. Such Non-Conviction Based Forfeiture (NCBF – often referred to as civil asset forfeiture) procedures can be an effective way to target the proceeds of financial and other profit-driven crimes. They help ensure that "crime doesn't pay", especially where the suspect has absconded, died or cannot be identified. Through the use of an extensive hands-on practical exercise, the participants conduct a country-specific simulated investigation in which they identify, seize and forfeit assets without the need for a conviction.

Target audience: Investigators, prosecutors, FIU representatives, judges
Number of participants: 25 – 35 on-site; up to 20 virtually

Duration: 5 days
Location: On-site or virtually
Programme language: English; other languages possible with interpreters

The training provides a general overview of confiscation regimes and highlights the differences between conviction-based and NCBF objectives and procedures. It covers the key principles of NCBF, including evidentiary requirements. It also introduce tools available for the investigation, preservation and forfeiture of assets in such cases.

Participants work together in multidisciplinary teams to conduct a country-specific simulated corruption and money laundering investigation. By performing the complex financial and asset tracing investigation, participants increase their capacity to utilise legal provisions and investigative techniques to identify, seize and forfeit assets without the need for a conviction.

The exercise also helps them to understand differences in legal provisions and approaches and how to co-operate across international borders.

Train–the–Trainer (TTT)

Given its inherent long-term sustainability, ICAR designs and delivers country-specific Train–the–Trainer (TTT) training. The goal is to train selected candidates as Certified Trainers for the Financial Investigations and Asset Recovery programme whilst training other participants in the process. Through the use of an extensive hands-on practical exercise, participants conduct a country-specific simulated investigation involving complex corruption and money laundering schemes.

Target audience: Investigators, prosecutors, FIU representatives, judges
Number of participants: 25 – 35 per workshop; totalling around 100

Duration: 5 days per workshop; totalling 20 days plus 6 additional mentoring days for the selected trainers only
Location: On-site only
Programme language: English; other languages possible with interpreters

The Train–the–Trainer (TTT) programme comprises a series of four training workshops designed to develop four to five candidates as Certified Trainers in the Financial Investigations and Asset Recovery programme. Participants who wish to become certified trainers must express their interest and ensure that their agencies make a commitment to allowing them to attend all four workshops.

The training of the future trainers evolves gradually over the course of the four workshops, which cover the same training material at each workshop. It commences with the selection of four to five potential candidates during the first session whilst simultaneously training them and the remaining group of participants.

At the second workshop and with a new group of participants, the potential trainers participate as observers. This process aims to achieve their future independent facilitation of the practical exercise, and learning of the lecture modules.

In addition to this, they receive a personal three-day instruction programme to increase their technical knowledge, develop their presentation skills and learn the unique ICAR training methodology.

At the third workshop the selected trainers already deliver two lecture modules each to the new group of participants and facilitate the practical exercise. This time, they receive a two-day mentoring session to address all problems encountered, technical issues, programme management and suggestions for improvement. They also learn new lecture modules to be presented at the subsequent and last training workshop.

The purpose of the fourth workshop for the future local trainers is to deliver all lecture modules, facilitate the practical exercise and manage the classroom activities.

Only candidates who have attended all four workshops and received a satisfactory evaluation, are awarded a certificate as a Certified Trainer for the Financial Investigations and Asset Recovery programme.

Regional Training

The organised and transnational nature of corruption and money laundering requires co-ordinated efforts between countries. This partnership is even more critical amongst countries sharing common borders, and increased socio-political and economic interaction. This version of ICAR's signature training programme in Financial Investigations and Asset Recovery trains and enhances the technical skills of relevant national authorities in a region to handle concrete cases. It underlines the importance of co-operation across international and regional borders in most money laundering and asset recovery cases. It also promotes regional collaboration in the interests of fighting corruption and money laundering, and recovering illicit assets.

Target audience: Investigators, prosecutors, FIU representatives from countries in the region
Number of participants: 25 – 35 on-site; up to 20 virtually

Duration: 5 days
Location: On-site or virtually
Programme language: English; other languages possible with translators

The international character of the United Nations Convention Against Corruption (UNCAC) is acknowledged as a key tool for asset recovery in corruption cases, in addition to the need for co-operation across borders in most asset recovery cases. ICAR has designed a regional-specific programme to enhance regional capacity and promote networking and co-operation.

The regional training comprises an interactive learning programme designed to take the participants from the basics of corruption and money laundering violations and Mutual Legal Assistance (MLA) to a very advanced level by performing a complex financial investigation.

Through the use of this extensive hands-on practical exercise, they actually conduct a regional-specific simulated investigation involving complex corruption and money laundering schemes.

In order to do so, the participants are divided into investigative teams, working as independent task force groups for the whole duration of the programme. This ensures a mix of representatives from various countries, thereby encouraging them to learn more about each other's legislation, practices and operations, and reach out to each other for advice and assistance. It enhances an understanding of the crucial role of international co-operation in the interests of the successful investigation, prosecution and adjudication of corruption and money laundering cases.

Group discussions focus on key topical areas, identify problems that have occurred in actual cases, arrive at potential solutions and compare international standards to the regional-specific issues.

The simulated case involves the analysis of large volumes of data, gathering and assembling evidence in a manner ready for presentation at trial, and establishing a basis for the confiscation of assets. This practical exercise incorporates relevant regional-specific criminal statutes and provisions, commercial and banking records and enforcement procedures.

The work is conducted in an automated environment using computer applications to perform portions of the financial analysis. Following the completion of the investigative stage, the participants are required to organise and assemble the evidence for prosecution.

Advanced Operational Analysis

This training programme combines our eLearning course on Operational Analysis with a customised on-site training component. The eLearning course was developed by ICAR in co-operation with the Egmont Group of Financial Intelligence Units and is based on the official EGMONT Tactical Analysis training. It can be integrated with on-site modules and an extensive hands-on practical exercise. The participants conduct simulated operational analysis based on a country-specific case scenario, from the receipt of a suspicious transaction activity or report (STA/STR) to the dissemination of their analysis to relevant law enforcement authorities.

Target audience: Representatives of the FIU with possible extension to Anti-Money Laundering/Counter Financing of Terrorism (AML/CFT) investigators and prosecutors

Number of participants: 25–35; up to 20 virtually
Duration: 5 days
Location: On-site or virtually
Programme language: English; other languages possible with translators

Operational analysis is a core function of the Financial Intelligence Unit (FIU) and an important element of money laundering investigations, as emphasised by the Financial Action Task Force (FATF) Recommendations. Operational analysis needs to be conducted homogenously by the analysts within the FIU as well as other investigators of law enforcement agencies, with a view to levelling the playing field and creating coherence in the national enforcement strategy.

This training programme combines the use of the Operational Analysis eLearning course, developed by ICAR in co-operation with the Egmont Group of Financial Intelligence Units, with a customised on-site training component. This component is centred on a case scenario adapted to the Anti-Money Laundering/Counter Financing of Terrorism (AML/CFT) legal and operational framework of the country concerned.

The participants develop knowledge and skills to analyse STAs/STRs and produce written/oral assessments for dissemination to relevant law enforcement agencies. They are guided through the process of prioritising, analysing and disseminating information received, and conducting a structured analysis of disclosures based on the steps of the intelligence cycle through the eLearning course. Another objective is to assist FIU personnel with the analysis of financial (banking) documents.

The eLearning course features 16 interactive sessions of 3 to 25 minutes each, using hands-on examples and exercises. The eLearning sessions are interjected with relevant lectures and the collation and analysis of additional information to add value to the initial STA / STR.

Participants can access the Operational Analysis eLearning course at any time on the Basel Institute's LEARN platform (learn.baselgovernance.org). See the following section for more information about the Basel Institute's free eLearning courses.

The programme also provides national law enforcement authorities with an understanding of the functions of the FIU and its value to the investigation and prosecution of financial crimes. It enhances information exchange and inter-agency co-operation as emphasised by international standards.

“What I earnestly appreciate about ICAR training programmes is that they are not just about training in the narrow sense. Although the skills we learn are extremely important, it is also important that participants are drawn from different law enforcement agencies. During group work, the trainers make sure that each group has officers from different agencies. That is crucial for getting to know each other and understanding the challenges that our counterparts face.

The training programme is also a platform for getting feedback on how our agencies are performing and how we are complementing or assisting the work of officers in other agencies. If it turns out we are involuntarily impeding or frustrating the actions of others, it helps us to jointly find the solution.

There are many of us in Malawi who are passionate about fighting corruption and financial crime generally in our country. We are determined to trace and recover the proceeds of crime for the benefit of our people. To succeed in this frankly challenging task, we in the law enforcement community need assistance and coordination – between ourselves as well as at an international level.

I have recently won a scholarship to study Criminal Law and Criminal Justice at the University of Sussex in the UK. I must honestly say that it was through the ICAR training team that I was able to learn the complexities of criminal law and the critical role that it plays in a society. For developing countries like the one I am coming from, an effective criminal justice system (in the broad sense) that is able to investigate, trace and recover proceeds of a crime is crucial.

Because of the background that I have through ICAR training programmes, I have been inspired to take Corruption and the Law, and International and Transnational Offending as my optional modules.”

Martin Chipofya

Senior Resident Magistrate, Blantyre, Malawi,
LLM student at the University of Sussex, UK
and graduate of two ICAR training programmes

“As a young investigator at the EACC, I was very fortunate to go through a Financial Investigations and Asset Recovery training programme conducted by the ICAR training team in 2012. It is no coincidence that soon afterwards that the quality of investigations improved and, within no time, we began enjoying success in asset recovery.

Part of the reason we were ultimately successful was due to our ability to provide an alternative method of financial analysis (Source and Application) after the initial method (Bank Deposits) failed to persuade the court.

This case crystallised the ingredients for forfeiture of unexplained assets in Kenya. It opened the way for law enforcement agencies to recover assets that are the proceeds of corruption and other crimes.

On a personal level, it was very gratifying to become the first lawyer in Kenya to successfully prosecute a civil suit for the forfeiture of unexplained wealth, and I am grateful to the ICAR training team for starting me down that path.”

Phillip Kagucia

Deputy Director and Head of Asset Recovery,
Ethics and Anti-Corruption Commission (EACC), Kenya

eLearning courses

“Many thanks for this insightful and great course. Indeed you are building the analytical capacity of stakeholders. BRAVO!”

“Very well tailored interactive course! Leading you through real case study case step by step until report writing at the end.”

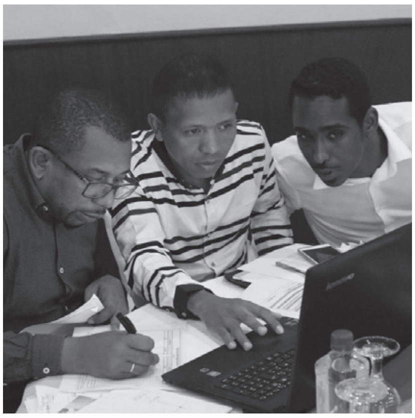
“Thanks to you and the International Centre for Asset Recovery for the valuable training course Operational Analysis. This Course is useful to me and my institution and I will use the knowledge acquired to improve the analysis section of my FIU.”

“In contrast to other courses this course is very interactive, having the participant completely hooked up during its whole development.”

CFT initiatives
Domestic
International

“The course content is very detailed, and tools/graphs used for investigations are valuable and practical.”

“An amazing OSINT e-learning course full of useful tools, methods and resources, which was a good refresher and taught even me a couple of new tricks! I can highly recommend this course to anyone interested/working in intelligence, finance, tech, security, etc.”



Basel LEARN

Basel LEARN, our online learning platform, offers a suite of self-paced eLearning courses on asset tracing, intelligence gathering and financial analysis. Available in several languages, the eLearning courses feature cases that are close to the everyday work of practitioners in the field.

Participants can choose from a variety of courses and complete them at their own pace. The courses include interactive exercises that allow them to practise and test their newly acquired knowledge and skills.

They also complement ICAR's instructor-led training programmes and are in some cases an integral part of the learning experience.

All courses are available free of charge on learn.baselgovernance.org. Simply register and start learning.

Upon completion, participants can download a certificate to prove their successful completion of the eLearning course.

7

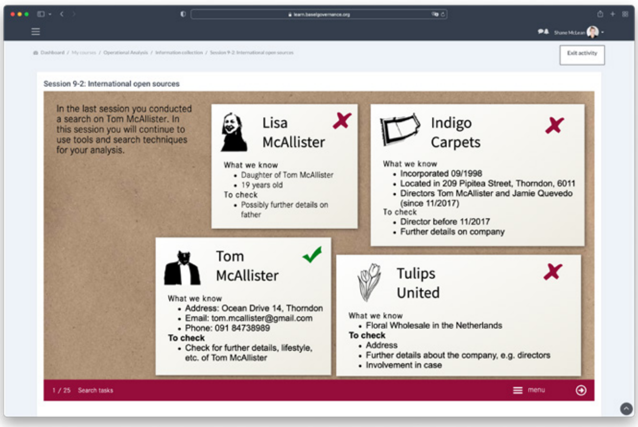
eLearning
courses

32,000+
eLearning users

4.5/5

average rating by
eLearning users

Operational Analysis of Suspicious Transaction Reports


learn.baselgovernance.org
Shane McLean
Dashboard / My courses / Operational Analysis / Information collection / Session 9-2: International open sources
Exit activity
menu

Session 9-2: International open sources

In the last session you conducted a search on Tom McAllister. In this session you will continue to use tools and search techniques for your analysis.

Lisa McAllister ✗

What we know
- Daughter of Tom McAllister
- 19 years old

To check
- Possibly further details on father

Indigo Carpets ✗

What we know
- Incorporated 09/1998
- Located in 209 Pipitea Street, Thorndon, 6011
- Directors Tom McAllister and Jamie Quevedo (since 11/2017)

To check
- Director before 11/2017
- Further details on company

Tom McAllister ✓

What we know
- Address: Ocean Drive 14, Thorndon
- Email: tom.mcallister@gmail.com
- Phone: 091 84738989

To check
- Check for further details, lifestyle, etc. of Tom McAllister

Tulips United ✗

What we know
- Floral Wholesale in the Netherlands

To check
- Address
- Further details about the company, e.g. directors
- Involvement in case

1 / 25 Search tasks

Analysing suspicious transactions for activities related to money laundering or the financing of terrorism is a core function of Financial Intelligence Units (FIUs).

In this course, you will learn to assess the risk of suspicious activity and analyse suspicious transaction reports (STRs).

This course was developed in co-operation with the Egmont Group of FIUs and is based on the content of the Egmont Group's on-site Tactical Analysis training. It is aimed primarily at FIU analysts, but is useful for anyone analysing evidence as part of a financial investigation. This course forms part of a blended learning programme (see page 18).

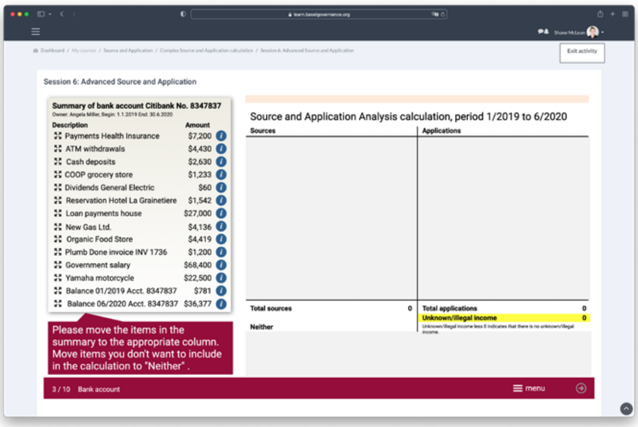
You will learn to:

- Understand the core responsibilities of an FIU
- Differentiate between information and intelligence
- Assess the risk of suspicious activity
- Conduct an analysis of an STR, including all steps of the intelligence cycle: creating a collection plan and hypothesis, collecting, analysing and evaluating information, and creating and disseminating a report of your findings.

Languages: English, French, Portuguese, Spanish

Duration: 5 sessions; approximately 8 hours in total

Source and Application of Funds Analysis


learn.baselgovernance.org
Shane McLean
Dashboard / My courses / Source and Application / Complex Source and Application calculation / Session 6: Advanced Source and Application
Exit activity
menu

Session 6: Advanced Source and Application

Summary of bank account Citibank No. 8347837

Owner: Angela Miller, Begin: 1.1.2019 End: 30.6.2020

Description	Amount
Payments Health Insurance	$7,200
ATM withdrawals	$4,430
Cash deposits	$2,630
COOP grocery store	$1,233
Dividends General Electric	$60
Reservation Hotel La Grainetiere	$1,542
Loan payments house	$27,000
New Gas Ltd.	$4,136
Organic Food Store	$4,419
Plumb Done invoice INV 1736	$1,200
Government salary	$68,400
Yamaha motorcycle	$22,500
Balance 01/2019 Acct. 8347837	$781
Balance 06/2020 Acct. 8347837	$36,377

Please move the items in the summary to the appropriate column. Move items you don't want to include in the calculation to "Neither".

Source and Application Analysis calculation, period 1/2019 to 6/2020

Sources		Applications	
Total sources	0	Total applications	0
		Unknown/illegal income	0
Neither			

Unknown/illegal income less 0 indicates that there is no unknown/illegal income.

3 / 10 Bank account

The Source and Application of Funds Analysis is a simple yet powerful method to prove unknown or illegal income in a corruption or money laundering case when direct evidence is not available. It is also a crucial tool in cases of illicit enrichment in countries where this is criminalised.

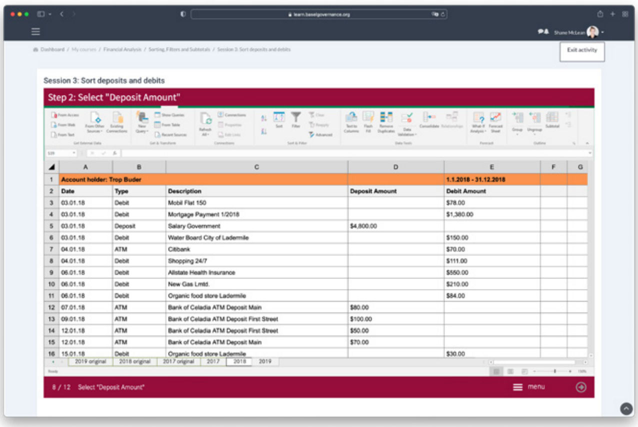
You will learn to:

- Understand how indirect evidence like bank records can contribute to proving bribery and corruption cases and/or provide evidence in cases of illicit enrichment
- Calculate a suspect's unknown/illegal income using the Source and Application method
- Find "sources" and "applications" of funds in your case

Languages: English, Latvian, Portuguese, Spanish

Duration: 7 modules; approximately 3 hours in total

Financial Analysis using Excel


learn.baselgovernance.org
Shane McLean
Dashboard / My courses / Financial Analysis / Sorting, Filters and Subtotals / Session 3: Sort deposits and debits
Exit activity

Session 3: Sort deposits and debits

Step 2: Select "Deposit Amount"

	A	B	C	D	E	F	G
1	Account holder: Trop Buder				1.1.2018 - 31.12.2018		
2	Date	Type	Description	Deposit Amount	Debit Amount		
3	03.01.18	Debit	Mobil Flat 150		$78.00		
4	03.01.18	Debit	Mortgage Payment 1/2018		$1,380.00		
5	03.01.18	Deposit	Salary Government	$4,800.00			
6	03.01.18	Debit	Water Board City of Ladermile		$150.00		
7	04.01.18	ATM	Citibank		$70.00		
8	04.01.18	Debit	Shopping 24/7		$111.00		
9	06.01.18	Debit	Allstate Health Insurance		$550.00		
10	06.01.18	Debit	New Gas Lmtd.		$210.00		
11	06.01.18	Debit	Organic food store Ladermile		$84.00		
12	07.01.18	ATM	Bank of Celadia ATM Deposit Main	$80.00			
13	09.01.18	ATM	Bank of Celadia ATM Deposit First Street	$100.00			
14	12.01.18	ATM	Bank of Celadia ATM Deposit First Street	$50.00			
15	12.01.18	ATM	Bank of Celadia ATM Deposit Main	$70.00			
16	15.01.18	Debit	Organic food store Ladermile		$30.00		

2019 original
2018 original
2017 original
2017
2018
2019

8 / 12 Select "Deposit Amount"

For most investigators, Excel is the tool of choice to analyse financial information.

In this course, you will use Excel to organise and analyse large volumes of data such as banking records, invoices, phone records and commercial transactions.

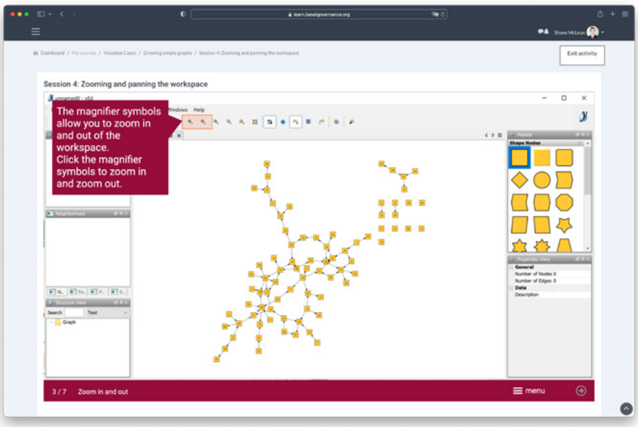
You will learn to:

- Protect your data from accidental changes
- Use simple functions to find transactions that stand out
- Unlock the power of filters to find exactly the data you are searching for
- Use subtotals to summarise your financial data instantly
- Create interactive summaries using PivotTables
- You will also gain insight into typical patterns of illegal use of bank accounts, allowing you to further explore possibilities to analyse financial data.

Languages: English, Portuguese, Spanish

Duration: 3 modules; approximately 3 hours in total

Visualise Cases and Flows of Money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Shane McLean
Dashboard / My courses / Visualise Cases / Drawing simple graphs / Session 4: Zooming and panning the workspace
Exit activity
unnamed0 - yEd
Windows
Help
Neighborhood
Structure View
Search
Text
Graph
Palette
Shape Nodes
Properties View
General
Number of Nodes 0
Number of Edges 0
Data
Description
menu

Session 4: Zooming and panning the workspace

The magnifier symbols allow you to zoom in and out of the workspace.
Click the magnifier symbols to zoom in and zoom out.

3 / 7 Zoom in and out

Corruption and money laundering investigations often involve complex structures of persons, companies and other entities.

This is challenging to present to a supervisor or colleagues, or even in a courtroom. A graph or diagram depicting the relationships between entitles in the case and the flows of money between them can make it easier for others to understand.

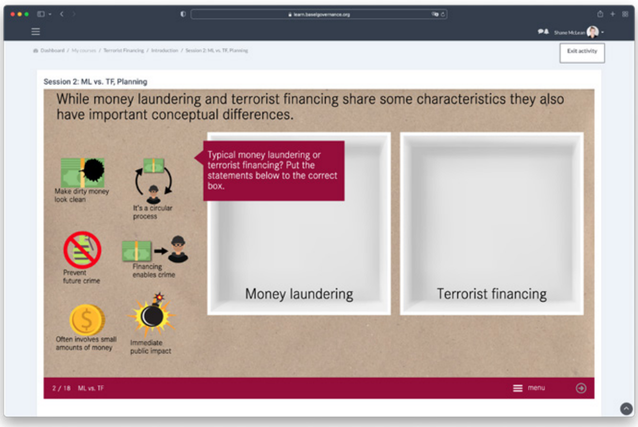
By using the free yEd software, you will learn how to:

- Create clear and interpretable graph to present entities in a case you are investigating
- Visualise meaningful connections between these entities
- Depict the entities with custom pictures
- Use layout functions to make your graph ready for analysing in a few clicks
- Use Excel data to create graphs that make financial flows comprehensible
- Add meaningful details, such as thicker connection lines to represent greater amounts of money
- Make your graph versatile, for example by exporting it to PowerPoint

Languages: English

Duration: 3 modules; approximately 3 hours in total

Terrorist Financing



Session 2: ML vs. TF, Planning

While money laundering and terrorist financing share some characteristics they also have important conceptual differences.

Typical money laundering or terrorist financing? Put the statements below to the correct box.

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Shane McLean
Dashboard / My courses / Terrorist Financing / Introduction / Session 2: ML vs. TF, Planning
Exit activity
Make dirty money look clean
It's a circular process
Prevent future crime
Financing enables crime
Often involves small amounts of money
Immediate public impact
Money laundering
Terrorist financing
2 / 18 ML vs. TF
menu

Anti-money laundering and counter financing of terrorism (AML/CFT) systems and enforcement are strengthening around the world.

It is essential for practitioners to have a clear and up-to-date understanding of terrorist financing threats, as well as how to identify, analyse and report them.

You will learn to:

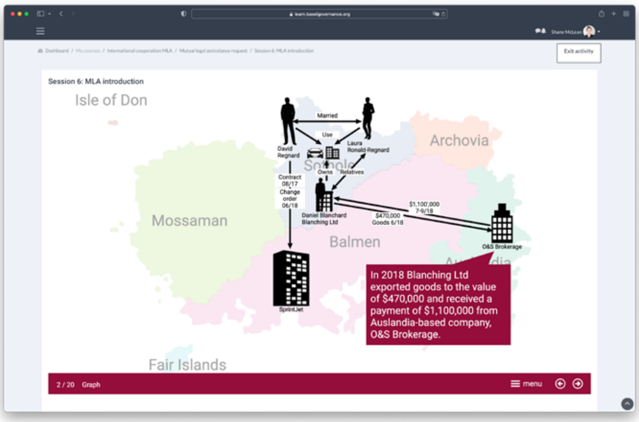
- Understand the different actors involved in combating terrorist financing
- Understand the differences and similarities between money laundering and terrorist financing
- Explain why terrorist groups need funds and the different sources of those funds
- Research and gather information on terrorist groups
- Spot typical indicators of terrorist financing activity
- Create reports and visual graphs and disseminate these appropriately

This course was developed in co-operation with the Egmont Group of Financial Intelligence Units and is based on the content of the Egmont Group's on-site Terrorist Financing training.

Languages: English, French, Latvian, Portuguese, Spanish

Duration: 3 modules; approximately 5 hours in total

International Cooperation and Mutual Legal Assistance



Session 6: MLA introduction

In 2018 Blanching Ltd exported goods to the value of $470,000 and received a payment of $1,100,000 from Auslandia-based company, O&S Brokerage.

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Shane McLean
Dashboard / My courses / International cooperation MLA / Mutual legal assistance request / Session 6: MLA introduction
Exit activity
Isle of Don
Archovia
Mossaman
Balmen
Fair Islands
Married
Use
David Regnard
Laura Ronald-Regnard
Owns
Relatives
Contract 08/17
Change order 06/18
Daniel Blanchard Blanching Ltd
$1,100,000 7-9/18
$470,000 Goods 6/18
O&S Brokerage
SprintJet
2 / 20 Graph
menu

Corruption and money laundering cases generally require investigative efforts beyond domestic borders.

Why? A company paying bribes for a contract might be located in a foreign country. Perhaps assets acquired or used by the suspect are registered in a foreign company's name. A corrupt official may launder bribes in another jurisdiction. Successful international cooperation is key to a successful prosecution. Through a simulated investigation into the public official "Daniel Regnard", you will gain the skills you need to collect and request information and evidence from international sources.

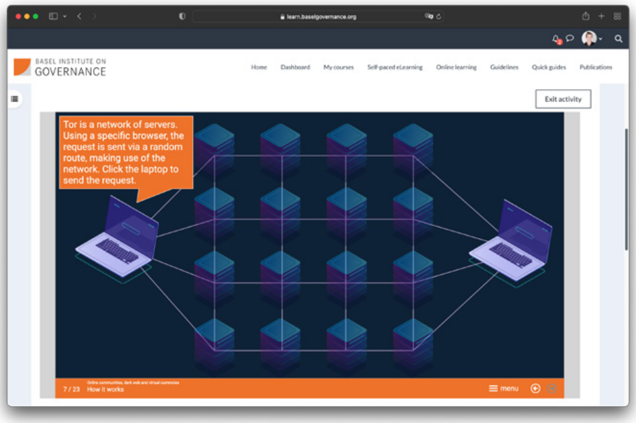
You will learn to:

- Understand the importance of international cooperation, the international channels you can use
- Use open-source information sources to gather information
- Request information informally via police-to-police and FIU-to-FIU channels
- Understand the principles and legal requirements of MLA, and the admissibility of foreign evidence in domestic criminal proceedings
- Write effective MLA requests and respond to their refusal if needed

Languages: English, French, Latvian, Portuguese, Spanish

Duration: 3 modules; approximately 4 hours in total

Open-source Intelligence (OSINT)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Online communities, dark web and virtual currencies
How it works
menu

Tor is a network of servers. Using a specific browser, the request is sent via a random route, making use of the network. Click the laptop to send the request.

Open-source intelligence is a central element of most corruption and money laundering investigations.

The information and evidence you can gather from publicly available sources can make the difference between a successful investigation and a dead end. Through a simulated investigation, this course will help you learn the basics of how to prepare, conduct and report an OSINT undertaking.

You will learn to:

- Prepare your research environment
- Search the open web and deep web databases
- Analyse domain records to find information about websites
- Use cached and archived records to retrieve past versions of websites
- Gather information from social media sites
- Exploit the visual and meta information of images
- Search online communities, investigating dark web pages and bitcoin
- Prepare a report of an OSINT investigation

The course was developed in collaboration with our Green Corruption programme, with primary funding from PMI Impact.

Languages: English, Bahasa Indonesia, Portuguese, Spanish

Duration: 3 modules; approximately 4 hours in total

Coming soon:

Investigating crypto assets

By following the case of a minister using cryptocurrencies to launder money, you will get to know the technology behind crypto assets and how different types of wallet are used. You'll also learn how to use specialised tools and techniques to investigate transactions made on the blockchain and follow the trail of assets through the crypto sphere.

Advanced data analysis

This course builds on the existing Financial Analysis using Excel course (see page 23). As we continue to investigate the corruption case of "Trop Buder", you will learn to prepare and analyse data from different sources to find patterns and connections, corroborate findings using data from other sources, and use graphs and timelines to make flows of money easier to understand.

More languages coming soon!

Many courses will soon be available in Bulgarian and Ukrainian.

INTERNATIONAL CENTRE FOR
ASSET RECOVERY

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